

C(37)08
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Guidance on Warnings and Performance Assessments

Purpose:

To approve draft guidance on the following issues:

1. On warnings issued by the Investigation Committee;
2. To registrants who are to undergo a performance assessment;
3. To performance assessors who are to conduct performance assessments; and
4. To expert witnesses, including performance assessors, who are to write expert reports in Fitness to Practise cases.

Summary

The Investigation Committee has the power to issue warnings in cases that it does not refer to the FTP Committee. The draft guidance, intended to assist both the Investigation Committee and registrants, sets out the purpose of a warning and the use to which a warning can be put by GOC. NB: An earlier draft of this guidance has already been seen and approved by the Investigation Committee.

Greater clarity is needed for registrants who are required to undergo performance assessments on the purpose of the assessments and the process that will be adopted; greater assistance is needed for those who conduct such assessments, both in terms of the conduct of the assessments and the writing of the reports. The guidance within Annexes 2 – 4 should provide that clarity and assistance.

Council is asked to approve the proposed guidance.

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